# 用生成模型解决物理问题

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# Outline

#### 生成模型四问:哪种最好?多少先验?能推理吗?懂不懂物理?

### ③ 物质科学应用举例:晶体材料设计、变分自由能计算

#### What are the limits of classical systems?

Classical Turing Machines can do much more than we previously thought

By doing a massive amount of pre-compute upfront to develop a good model

Then use the model to efficiently explore a solution space in polynomial time

#### My Proposed Conjecture:

"Any pattern that can be generated or found in nature can be efficiently discovered and modelled by a classical learning algorithm"

If it turns out that classical systems can model certain types of quantum systems, it could potentially have big implications for complexity theory including P=NP, and maybe even fundamental physics!

#### Demis Hassabis, Nobel prize lecture, 2024 Dec





ChatGPT: Optimizing Language Models for Dialogu November 30, 2022 — Announcements, Research	June 20
DALL·E API Now Available in Public Beta	Generat
November 3, 2022 — Announcements, API	June 16
DALL·E Now Available Without Waitlist	Team U
September 28, 2022 — Announcements	May 25,
<b>Introducing Whisper</b>	OpenAI
September 21, 2022 — Research	April 27,
DALL·E: Introducing Outpainting	Welcom
August 31, 2022 — Announcements	April 26
Our Approach to Alignment Research	Team++
August 24, 2022 — Research	March 3
New and Improved Content Moderation Tooling August 10, 2022 — Announcements	
DALL·E Now Available in Beta	Introdu

July 20, 2022 – Announcements

icing OpenAI December 11, 2015 — Announcements

#### Technical Goals

), 2016 — Announcements

tive Models , 2016 — Research, Milestones

pdate 2016 – Announcements

#### Gym Beta

2016 - Research

#### ne, Pieter and Shivon!

6, 2016 — Announcements

## **Generative P**retrained **T**ransformer

31, 2016 — Announcements

text ~ p(text | prompt)

https://openai.com/blog/





# Probabilistic modeling with generative AI





DaLL-E



## How to express, learn, and sample from a high-dimensional probability distribution?

ChatGPT 4o >	6	C
using PySR:		
ć	Э Сору	
all PySR (if not installed) install pysr		
r numpy as np bysr import PySRRegressor		
<pre>0 1: Generate data from the neural eural_network(x, y): Example neural network function, = np.sin(x) + 0.5 * y = np.cos(y) + 0 + x eturn u. v</pre>	netwoı replace	
atGPT	Ŷ	ຄ

ChatGPT



AlphaFold3

# Discriminative AI is not enough



 $\nabla_{\text{pixels}} p(\text{dog} | \text{pixels})$ 



#### Deepdream: http://googleresearch.blogspot.ch/2015/06/inceptionism-going-deeper-into-neural.html



posterior

# Bayes rule

# $p(X | y) \propto p(X)p(y | X)$ prior likelihood

# Probability theory 101

# Conditional probability p(y|X)

Joint probability

Product rule

Sum rule

## p(X, y)

# p(X, y) = p(y | X)p(X)

 $p(X) = \sum p(X, y)$ 



# Two sides of the same coinGenerative modelingStatistical physics



#### "learn from data"

### Mamixmum likelihood estimation

 $\mathscr{L} = -\mathbb{E}_{\mathbf{X}\sim \text{data}}\left[\ln p(\mathbf{X})\right]$ 

 $\mathbb{KL}(\text{data} \parallel p) \text{ VS } \mathbb{KL}(p \parallel e^{-E/k_BT})$ 



# "learn from energy" **Variational free energy** $F = \mathop{\mathbb{E}}_{X \sim p(X)} \left[ \frac{E(X) + k_B T \ln p(X)}{1 \ln p(X)} \right]$







Boltzmann	Variational	Diffusion	Born	Flow
Machine	Autoencoder	Model	Machine	Matching
1985	2013	2015	2017	2022
((				

Monte Carlo Ising model

Variational mean field

Nonequilibrium thermodynamics













Tensor networks Quantum circuits

Fluid optimal transportation





 $\partial p(X,t)$  $\nabla \cdot |p(X,t)v| = 0$  $\partial t$ 

Statistical, quantum, fluid, ... physics insights into generative models Leverage the power of modern generative models for science





# Boltzmann machines $p(X) = \frac{e^{-L(X)}}{Z}$

## GAUSSIAN-BERNOULLI RBMS WITHOUT TEARS



2210.10318

5 a  $\begin{array}{c} \mathbf{8} & \mathbf{1} & \mathbf{8} & \mathbf{4} & \mathbf{2} & \mathbf{6} & \mathbf{6} \\ \mathbf{6} & \mathbf{7} & \mathbf{8} & \mathbf{6} & \mathbf{3} & \mathbf{3} \\ \mathbf{6} & \mathbf{7} & \mathbf{8} & \mathbf{6} & \mathbf{3} & \mathbf{3} \\ \end{array} \nabla_{\theta} \mathcal{L} = \langle \nabla \\ \end{array}$ 7505795 1870650 7548447



$$\langle \nabla_{\theta} E \rangle_{\text{data}} - \langle \nabla_{\theta} E \rangle_{\text{model}}$$





# So, why bother ?



## $\longrightarrow p(X) \ge 0$

# Normalization? Sampling?

 $X \sim p(X)$ 

$$\int dX p(X)$$



# $Z = \int dX e^{-E(X)}$

"Intractable" partition function Z appears widely in machine learning and statistical physics (entropy and free energy calculation)









 $X \sim p(X)$ 

OXFORD MASTER SERIES IN STATISTICAL, COMPUTATIONAL, AND THEORETICAL PHYSICS

Statistical Mechanics: Algorithms and Computations Werner Krauth



Adults computing the number  $\pi$  at the Monte Carlo heliport.

#### Direct sampling is generally difficult in high-dimensional space





# Autoregressive models

 $p(\mathbf{X}) = p(x_1)p(x_2 | x_1)p(x_3 | x_1, x_2)\cdots$ 





Transformers from scratch, <u>https://peterbloem.nl/blog/transformers</u>



output

#### Generative Pretrained Transformer



# Implementation: autoregressive masks

Masked Autoencoder Germain et al, 1502.03509



 $p(x_3 | x_1, x_2) = \text{Bernoulli}(\hat{x}_3)$  $p(x_1) = \text{Bernoulli}(\hat{x}_1)$   $p(x_2 | x_1) = \text{Bernoulli}(\hat{x}_2)$ 

Other ways to implement autoregressive models: recurrent networks





# Implementation: autoregressive masks

### Mask convoluti PixelCNN, van den Oord et al, 1601.06759



#### trix

#### Causal transformer, Vaswani et al 1706.03762







Great at capturing long-range dependence; friendly to backpropagation and GPUs Masked attention matrix => lower triangular Jacobian matrix => autoregressive model

Transformers from scratch, <u>https://peterbloem.nl/blog/transformers</u>



```
picoGPT — wanglei@bright90:~ — vi gpt2_pico.py — 116×63
1 import numpy as np
 3 def gelu(x):
      return 0.5 * x * (1 + np.tanh(np.sqrt(2 / np.pi) * (x + 0.044715 * x**3)))
 6 def softmax(x):
       exp_x = np.exp(x - np.max(x, axis=-1, keepdims=True))
       return exp_x / np.sum(exp_x, axis=-1, keepdims=True)
10 def layer_norm(x, g, b, eps: float = 1e-5):
      mean = np.mean(x, axis=-1, keepdims=True)
11
       variance = np.var(x, axis=-1, keepdims=True)
12
      return g * (x - mean) / np.sqrt(variance + eps) + b
13
14
15 def linear(x, w, b):
      return x @ w + b
17
18 def ffn(x, c_fc, c_proj):
      return linear(gelu(linear(x, **c_fc)), **c_proj)
19
20
21 def attention(q, k, v, mask):
       return softmax(q @ k.T / np.sqrt(q.shape[-1]) + mask) @ v
22
23
24 def mha(x, c_attn, c_proj, n_head):
       x = linear(x, **c_attn)
25
       gkv_heads = list(map(lambda x: np.split(x, n_head, axis=-1), np.split(x, 3, axis=-1)))
26
       causal_mask = (1 - np.tri(x.shape[0], dtype=x.dtype)) * -1e10
27
       out_heads = [attention(q, k, v, causal_mask) for q, k, v in zip(*qkv_heads)]
28
29
       x = linear(np.hstack(out_heads), **c_proj)
30
       return x
31
32 def transformer_block(x, mlp, attn, ln_1, ln_2, n_head):
       x = x + mha(layer_norm(x, **ln_1), **attn, n_head=n_head)
34
       x = x + ffn(layer_norm(x, **ln_2), **mlp)
35
       return x
36
37 def gpt2(inputs, wte, wpe, blocks, ln_f, n_head):
       x = wte[inputs] + wpe[range(len(inputs))]
38
39
       for block in blocks:
40
           x = transformer_block(x, **block, n_head=n_head)
41
       return layer_norm(x, **ln_f) @ wte.T
42
43 def generate(inputs, params, n_head, n_tokens_to_generate):
       from tqdm import tqdm
44
45
       for _ in tqdm(range(n_tokens_to_generate), "generating"):
46
           logits = gpt2(inputs, **params, n_head=n_head)
47
           next_id = np.argmax(logits[-1])
           inputs.append(int(next id))
48
49
       return inputs[len(inputs) - n_tokens_to_generate :]
50
51 def main(prompt: str, n_tokens_to_generate: int = 40, model_size: str = "124M", models_dir: str = "models"):
       from utils import load_encoder_hparams_and_params
52
       encoder, hparams, params = load_encoder_hparams_and_params(model_size, models_dir)
53
54
       input_ids = encoder.encode(prompt)
       assert len(input_ids) + n_tokens_to_generate < hparams["n_ctx"]</pre>
55
       output_ids = generate(input_ids, params, hparams["n_head"], n_tokens_to_generate)
56
      output_text = encoder.decode(output_ids)
57
58
      return output_text
59
60 if __name__ == "__main__":
61 import fire
62 fire.Fire(main)
"gpt2_pico.py" 62L, 2330B
```

## GPT2 in 60 lines of numpy https://jaykmody.com/blog/gpt-from-scratch





In 2019, OpenAI announced GPT-2 with this post: openai.com/index/better-l...

Today (~5 years later) you can train your own for ~\$672, running on one 8XH100 GPU node for 24 hours. Our latest **Ilm.c** post gives the walkthrough in some detail: github.com/karpathy/llm.c...

Incredibly, the costs have come down dramatically over the last 5 years due to improvements in compute hardware (H100 GPUs), software (CUDA, cuBLAS, cuDNN, FlashAttention) and data quality (e.g. the FineWeb-Edu dataset). For this exercise, the algorithm was kept fixed and follows the GPT-2/3 papers.

Because llm.c is a direct implementation of GPT training in C/CUDA, the requirements are minimal – there is no need for conda environments, Python interpreters, pip installs, etc. You spin up a cloud GPU node (e.g. on Lambda), optionally install NVIDIA cuDNN, NCCL/MPI, download the .bin data shards, compile and run, and you're stepping in minutes. You then wait 24 hours and enjoy samples about English–speaking Unicorns in the Andes.

For me, this is a very nice checkpoint to get to because the entire llm.c project started with me thinking about reproducing GPT-2 for an educational video, getting stuck with some PyTorch things, then rage quitting to just write the whole thing from scratch in C/CUDA. That set me on a longer journey than I anticipated, but it was quite fun, I learned more CUDA, I made friends along the way, and llm.c is really nice now. It's ~5,000 lines of code, it compiles and steps very fast so there is very little waiting around, it has constant memory footprint, it trains in mixed precision, distributed across multi-node with NNCL, it is bitwise deterministic, and hovers around ~50% MFU. So it's quite cute.

#### https://x.com/karpathy/status/1811467135279104217

Or, ~1000 lines of C https://github.com/karpathy/llm.c



"It would also be exciting to find a theoretical framework from which the scaling relations can be derived: a 'statistical mechanics' underlying the 'thermodynamics' we have observed."



# Kullback–Leibler divergence $\mathbb{KL}(\pi \parallel p) \equiv \int dx \pi(x) \left[ \ln \pi(x) - \ln p(x) \right]$

# $\mathbb{K}\mathbb{L}(\pi \parallel p) \geq 0$

 $\mathbb{KL}(\pi \parallel p) \neq \mathbb{KL}(p \parallel \pi)$ 

# $\mathbb{KL}(\pi \parallel p) = 0 \iff \pi(\mathbf{x}) = p(\mathbf{x})$

# model target

# Learn from data



# $\min_{\theta} \mathbb{KL}(\pi \parallel p_{\theta}) \iff \min_{\theta} \left\{ -\mathbb{E}_{\boldsymbol{x} \sim \text{data}} \left[ \ln p_{\theta}(\boldsymbol{x}) \right] \right\}$

Maximum likelihood estimation

The lower bound is the entropy of the dataset: complete memorization

# Learn from Energy



## $\min_{\theta} \mathbb{KL}(p_{\theta} \parallel \pi) \iff \min_{\theta} \left\{ \mathbb{E}_{x \sim p_{\theta}(x)} \left[ E(x) + k_{B}T \ln p_{\theta}(x) \right] \right\}$ model Variational free energy target

The lower bound is the true free energy: exact solution

# $\pi(\mathbf{x}) \propto e^{-E/k_B T}$



# Forward KL or Reverse KL?

## Maximum likelihood estimation

 $\min \mathbb{KL}(\text{data} \parallel p_{\theta})$ θ Mode covering data  $p_{\theta}$ Probability Density

Failure mode: hallucination

ion Variational free energy



Failure mode: local minima

Goodfellow et al, Deep Learning



# The training objective of LLM

## ) Pretrain with forward KL



## learn from data to be a generalist

 $\mathscr{L} = -\mathbb{E}_{X \sim \text{data}} \left| \ln p(X) \right|$ 

学而不思则罔

RL with KL penalties is better viewed as Bayesian inference, Korbar et al, 2205.11275



$$\fbox{LLM} \rightarrow X \mapsto r(X)$$

## learn from reward to be a specialized generalist

$$F = \mathbb{E}_{X \sim p(X)} \left[ r(X) + T \ln p(X) \right]$$

思而不学则殆

- 《论语·大模型》









It's a bit sad and confusing that LLMs ("Large Language Models") have little to do with language; It's just historical. They are highly general purpose technology for statistical modeling of token streams. A better name would be Autoregressive Transformers or something.

They don't care if the tokens happen to represent little text chunks. It could just as well be little image patches, audio chunks, action choices, molecules, or whatever. If you can reduce your problem to that of modeling token streams (for any arbitrary vocabulary of some set of discrete tokens), you can "throw an LLM at it".

Actually, as the LLM stack becomes more and more mature, we may see a convergence of a large number of problems into this modeling paradigm. That is, the problem is fixed at that of "next token prediction" with an LLM, it's just the usage/meaning of the tokens that changes per domain.

If that is the case, it's also possible that deep learning frameworks (e.g. PyTorch and friends) are way too general for what most problems want to look like over time. What's up with thousands of ops and layers that you can reconfigure arbitrarily if 80% of problems just want to use an LLM?

I don't think this is true but I think it's half true.



## Token streams

# Autoregressive model is more than language modeling

#### **Speech**: WaveNet 1609.03499



Molecular graph: 1810.11347



"Language" => token stream => bitstream => ANYTHING



Multi-scale c







## Variational autoregressive network for statistical mechanics



Sherrington-Kirkpatrick spin glass





github.com/wdphy16/stat-mech-van



## Variational autoregressive quantum states



 $\psi(\boldsymbol{\sigma}) = \psi(\sigma_1)\psi(\sigma_2 \mid \sigma_1)\psi(\sigma_3 \mid \sigma_1, \sigma_2)\cdots$ 

Objective function: ground state energy

McMillan 1965, Carleo & Troyer Science 2017

$$\frac{\langle \psi | \hat{H} | \psi \rangle}{\langle \psi | \psi \rangle} = \mathbb{E}_{\boldsymbol{\sigma} \sim |\psi(\boldsymbol{\sigma})|^2} \left[ \frac{\hat{H}\psi(\boldsymbol{\sigma})}{\psi(\boldsymbol{\sigma})} \right]$$

Sharir, Levine, Wies, Carleo, Shashua, PRL '20 Hibat-Allah, Ganahl, Hayward, Melko, Carrasquilla, PRResarch '20 Barreft et al, Nat. Mach. Intell. '22 Zhao et al, MLST. '23 Shang et al, 2307.09343



# Demo: Generative model of Sycamore data

### Quantum chip



Can we fake the measurement of the sycamore quantum circuit by training a transformer? https://colab.research.google.com/drive/11WaroqULkudKT3h2i5J6r\_EmA4wFKkoZ?usp=sharing

### bitstrings ~ $|\Psi(X)|^2$

#### Transformer









# Normalizing flows



https://deepmind.com/blog/high-fidelity-speech-synthesis-wavenet/





https://blog.openai.com/glow/



# Normalizing flow in a nutshell

## Base density

### "neural net" with 1 neuron












### Physics intuition of normalizing flow



Physical variables

High-dimensional, composable, learnable, nonlinear transformations

Li and LW, PRL '18

Li, Dong, Zhang, LW, PRX '20

Zhang, Wang, LW, JCP '24

for RG, canonical transformations, and vibrational spectra







 $\mathcal{N}(\mathbf{Z})$ 

Collective variables







## Flow architecture design

### Composability



### Balanced efficiency $\delta_D + U \cdot V^T$ inductive bi $\det\left(\frac{\partial Z}{\partial X}\right)$ Autoregressive

	200	
(12) (12) (12) (12)		20
(031) (037)		

## $Z = \mathcal{T}(X)$ $\mathcal{T} = \mathcal{T}_1 \circ \mathcal{T}_2 \circ \mathcal{T}_3 \circ \cdots$



$$\frac{\partial p(\boldsymbol{X},t)}{\partial t} + \nabla \cdot \left[ p(\boldsymbol{X},t) \boldsymbol{v} \right] =$$

Continuous flow



arbitrary Forward neural nets  $\begin{cases} x_{<} = z_{<} & \text{neural nets} \\ x_{>} = z_{>} \odot e^{s(z_{<})} + t(z_{<}) \end{cases}$ 

Inverse

$$\begin{cases} z_{<} = x_{<} \\ z_{>} = (x_{>} - t(x_{<})) \odot d \end{cases}$$

Log-Abs-Jacobian-Det  $\ln \left| \det \left( \frac{\partial x}{\partial z} \right) \right| = \sum_{i} [s(z_{<})]_{i}$ 

### Example of a building block





Real NVP, Dinh et al,1605.08803

Turns out to have surprising connection Störmer–Verlet integration



## Why is flow useful for physics?





Effective theory emerges upon transformation of the variables



Physics happens on a manifold Train neural nets to unfold that manifold



### Neural network renormalization group

Collective variables



Physical variables

Li, LW, PRL '18 lio12589/NeuralRG



### Quantum version of the architecture









### Connection to wavelets



Nonlinear & adaptive generalizations of wavelets Guy, Wavelets & RG1999+ White, Evenbly, Qi, Wavelets, MERA, and holographic mapping 2013+





### Demo: Classical Coulomb gas in a harmonic trap



https://colab.research.google.com/drive/1yIlPo5CAjYrqWHeFEZrMlzWNCoNJ6\_YP#scrollTo=eQwLElKmaowu









### **Optimization: Monte Carlo Gradient Estimators**

 $\nabla_{\boldsymbol{\theta}} \mathbb{E}_{\boldsymbol{x} \sim p_{\boldsymbol{\theta}}} \left| f(\boldsymbol{x}) \right|$ 

Score function estimator (REINFORCE)

$$\nabla_{\theta} \mathbb{E}_{\boldsymbol{x} \sim p_{\theta}} \left[ f(\boldsymbol{x}) \right] = \mathbb{E}$$

Pathwise estimator (Reparametrization trick)  $\mathbf{x} = g_{\theta}(\mathbf{z})$ 

$$\nabla_{\theta} \mathbb{E}_{\mathbf{x} \sim p_{\theta}} \left[ f(\mathbf{x}) \right] = \mathbb{E}$$

#### **Review: 1906.10652**

Reinforcement learning Variational inference Variational Monte Carlo Variational quantum algorithms

 $\mathbb{E}_{\boldsymbol{x} \sim p_{\theta}} \left[ f(\boldsymbol{x}) \, \nabla_{\theta} \ln p_{\theta}(\boldsymbol{x}) \right]$ 

 $\mathsf{E}_{\boldsymbol{z}\sim\mathcal{N}(\boldsymbol{z})}\left[\nabla_{\theta}f(g_{\theta}(\boldsymbol{z}))\right]$ 





#### 10.1 Guidance in Choosing Gradient Estimators

With so many competing approaches, we offer our rules of thumb in choosing an estimator, which follow the intuition we developed throughout the paper:

- If our estimation problem involves continuous functions and measures that are continuous in the domain, then using the pathwise estimator is a good default. It is relatively easy to implement and a default implementation, one without other variance reduction, will typically have variance that is low enough so as not to interfere with the optimisation.
- If the cost function is not differentiable or a black-box function then the score-function or the measure-valued gradients are available. If the number of parameters is low, then the measurevalued gradient will typically have lower variance and would be preferred. But if we have a high-dimensional parameter set, then the score function estimator should be used.
- If we have no control over the number of times we can evaluate a black-box cost function, effectively only allowing a single evaluation of it, then the score function is the only estimator of the three we reviewed that is applicable.
- The score function estimator should, by default, always be implemented with at least a basic variance reduction. The simplest option is to use a baseline control variate estimated with a running average of the cost value.
- When using the score-function estimator, some attention should be paid to the dynamic range of the cost function and its variance, and to find ways to keep its value bounded within a reasonable range, e.g., transforming the cost so that it is zero mean, or using a baseline.
- For all estimators, track the variance of the gradients if possible and address high variance by using a larger number of samples from the measure, decreasing the learning rate, or clipping the gradient values. It may also be useful to restrict the range of some parameters to avoid extreme values, e.g., by clipping them to a desired interval.
- The measure-valued gradient should be used with some coupling method for variance reduction. Coupling strategies that exploit relationships between the positive and negative components of the density decomposition, and which have shared sampling paths, are known for the commonly-used distributions.
- If we have several unbiased gradient estimators, a convex combination of them might have lower variance than any of the individual estimators.
- If the measure is discrete on its domain then the score-function or measure-valued gradient are available. The choice will again depend on the dimensionality of the parameter space.
- In all cases, we strongly recommend having a broad set of tests to verify the unbiasedness of the gradient estimator when implemented.

### Mohamed et al, 1906.10652 $\nabla_{\boldsymbol{\theta}} \mathbb{E}_{\boldsymbol{x} \sim p_{\boldsymbol{\theta}}} \left[ f(\boldsymbol{x}) \right]$

When to use which?

### More discussions Roeder et al, 1703.09194 Vaitl et al 2206.09016, 2207.08219





$$\eta = \nabla_{\theta} \int \mathcal{N}(x|\mu)$$

Score function Score function + variance reduction Value of the cost



https://github.com/deepmind/mc\_gradients Mohamed et al, 1906.10652

 $(\mu, \sigma^2) f(x; k) dx; \quad \theta \in \{\mu, \sigma\}$ 







## Continuous n

### $\ln p(X) = \ln \mathcal{N}(Z)$

#### Consider infinitesimal change-of-variables Chen et al 1806.07366

 $\ln p(Z)$  $X = Z + \varepsilon v$ 

t = 0 $\varepsilon \to 0$ **AX** 

$$\frac{dt}{dt} = v$$

**Ormalizing flows**  
Z) - ln 
$$\left| \det \left( \frac{\partial X}{\partial Z} \right) \right|$$

$$X) - \ln \mathcal{N}(Z) = -\ln \left| \det \left( 1 + \varepsilon \frac{\partial v}{\partial Z} \right) \right|_{t=1}$$

$$\frac{d \ln p(X, t)}{dt} = -\nabla \cdot v$$





# Fluid physics behind flows

dX= vdt

 $\frac{d\ln p(X,t)}{dt} = -\nabla \cdot v$  $\overline{dt}$ 



Simple density

Zhang, E, LW 1809.10188 wangleiphy/MongeAmpereFlow

$$\frac{d}{dt} = \frac{\partial}{\partial t} + \mathbf{v} \cdot \nabla$$
 "material  
$$\frac{dt}{\partial t} = \frac{\partial}{\partial t} + \mathbf{v} \cdot \nabla$$
 derivative"

Lagrangian v.s. Euler approach to fluid mechanics

$$\nabla \cdot \left[ p(X, t) v \right] = 0$$

Complex density



## Neural Ordinary Differential Equations

### Residual network



$$X_{t+1} = X_t + v(X_t)$$

Chen et al, 1806.07366

### **ODE integration**



dX/dt = v(X)

Harbor el al 1705.03341 Lu et al 1710.10121, E Commun. Math. Stat 17'...



## Neural Ordinary Differential Equations

### **Residual network**



Chen et al, 1806.07366



Harbor el al 1705.03341 Lu et al 1710.10121, E Commun. Math. Stat 17'...







# Continuous normalizing flows implemented with NeuralODE

Chen et al, 1806.07366, Grathwohl et al 1810.01367









Continuous normalizing flow have no structural constraints on the transformation Jacobian



### Vector Field



### From flow to diffusion model

### Continuity equation

### Fokker-Planck equation



Data

Vincent 2011, Sohl-Dickstein et al, 1503.03585, Song et al, 1907.05600 Ho et al, 2006.11239...

$$\frac{\partial p(\boldsymbol{X},t)}{\partial t} + \nabla \cdot \left[ p(\boldsymbol{X},t) \boldsymbol{v} \right] = 0$$

$$\frac{\partial p(\boldsymbol{X}, t)}{\partial t} + \nabla \cdot \left[ p(\boldsymbol{X}, t) \boldsymbol{f} \right] - \nabla^2 p(\boldsymbol{X}, t) = 0$$

#### Fixed forward diffusion process

#### Generative reverse denoising process





# Diffusion models for protein structure prediction and design



Ingraham et al, Chroma, Nature 2023 https://generatebiomedicines.com/chroma



**Diffusion iterations** 

Abramson et al, AlphaFold3, Nature 2024 https://deepmind.google/technologies/alphafold/

### From flow to diffusion model, and back

### Continuity equation

### Fokker-Planck equation



Data

Maoutsa et al, 2006.00702, Song et al, 2011.13456 Liu et al 2209.03003, Albergo et al, 2209.15571, Lipman et al, 2210.02747

$$\frac{\partial p(X,t)}{\partial t} + \nabla \cdot \left[ p(X,t) v \right] = 0$$

$$\frac{\partial p(X,t)}{\partial t} + \nabla \cdot \left[ p(X,t) \left( f - \nabla \ln p(X,t) \right) \right] = 0$$

#### Fixed forward diffusion process

#### Generative reverse denoising process





## A tale of three equations

### 偏微分方程 Fokker-Planck



随机微分方程 Diffusion model

常微分方程 Flow model

Particles system

https://twitter.com/gabrielpeyre/status/1744962274018894292

## FoldFlow Flow Matching FlowSite FrameFlow



https://twitter.com/michael\_galkin/status/1711845455817261409

### Demo: bounding free energy of classical Coulomb gas

 $\mathscr{L} = \mathbb{E}_{t \sim \mathscr{U}(0,1)} \mathbb{E}_{\mathbf{x}_0 \sim \mathscr{N}(0,I)}$ 

$$Z = \mathbb{E}_{\boldsymbol{x} \sim q(\boldsymbol{x})} \left[ e^{-\beta E(\boldsymbol{x}) - \ln q(\boldsymbol{x})} \right] \quad \ln q(\boldsymbol{x}) = \ln \mathcal{N}(0, I) - \int_0^1 \nabla \cdot \boldsymbol{v} dt$$



Interpolate samples to estimate free energy differences

#### Base density Gaussian samples

https://colab.research.google.com/drive/it-Vk37Axxpo40B7uXFUNlk-zeCC2lcX3?usp=sharing Jarzynski PRE '02, see also likelihood-based training of flows Wirnsberger et al, 2002.04913, 2111.08696

$$\mathbb{E}_{\boldsymbol{x}_1 \sim \exp(-\beta E)/Z} \left| \boldsymbol{x}_1 - \boldsymbol{x}_0 - \boldsymbol{v}(\boldsymbol{x}, t) \right|^2$$



### Target density Monte Carlo samples













## Which is the best?

#### The "it" in AI models is the dataset.

Posted on June 10, 2023 by jbetker

I've been at OpenAI for almost a year now. In that time, I've trained a lot of generative models. More than anyone really has any right to train. As I've spent these hours observing the effects of tweaking various model configurations and hyperparameters, one thing that has struck me is the similarities in between all the training runs.

It's becoming awfully clear to me that these models are truly approximating their datasets to an incredible degree. What that means is not only that they learn what it means to be a dog or a cat, but the interstitial frequencies between distributions that don't matter, like what photos humans are likely to take or words humans commonly write down.

What this manifests as is – trained on the same dataset for long enough, pretty much every model with enough weights and training time converges to the same point. Sufficiently large diffusion conv-unets produce the same images as ViT generators. AR sampling produces the same images as diffusion.

This is a surprising observation! It implies that model behavior is not determined by architecture, hyperparameters, or optimizer choices. It's determined by your dataset, nothing else. Everything else is a means to an end in efficiently delivery compute to approximating that dataset.

Then, when you refer to "Lambda", "ChatGPT", "Bard", or "Claude" then, it's not the model weights that you are referring to. It's the dataset.

#### https://nonint.com/2023/06/10/the-it-in-ai-models-is-the-dataset/

## Which is the best?

http://www.incompleteideas.net/IncIdeas/BitterLesson.html



#### The Bitter Lesson

#### **Rich Sutton**

#### March 13, 2019

The biggest lesson that can be read from 70 years of AI research is that general methods that leverage computation are ultimately the most effective, and by a large margin. The ultimate reason for this is Moore's law, or rather its generalization of continued exponentially falling cost per unit of computation. Most AI research has been conducted as if the computation available to the agent were constant (in which case leveraging human knowledge would be one of the only ways to improve performance) but, over a slightly longer time than a typical research project, massively more computation inevitably becomes available. Seeking an improvement that makes a difference in the shorter term, researchers seek to leverage their human knowledge of the domain, but the only thing that matters in the long run is the leveraging of computation. These two need not run counter to each other, but in practice they tend to. Time spent on one is time not spent on the other. There are psychological commitments to investment in one approach or the other. And the human-knowledge approach tends to complicate methods in ways that make them less suited to taking advantage of general methods leveraging computation. There were many examples of AI researchers' belated learning of this bitter lesson, and it is instructive to review some of the most prominent.

#### more data and compute

### How much inductive bias?

#### https://staff.fnwi.uva.nl/m.welling/wp-content/uploads/Model-versus-Data-AI-1.pdf

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### Molecule representations and inductive biases

#### SMLIES or XYZ/CIF/PDB file

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АТОМ	4	N	LIG A	1	2.644	1.192	0.000
АТОМ	5	С	LIG A	1	2.818	2.384	0.000
АТОМ	6	N	LIG A	1	4.089	2.384	0.000
АТОМ	7	С	LIG A	1	4.263	3.576	0.000
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				•			

#### Language model

more data and compute

#### Atom coordinates



#### Equivariant neural network

more physics and symmetries



### How much inductive bias?

Abramson et al, AlphaFold3, Nature 2024



Similarly to some recent work<sup>35</sup>, we find that no invariance or equivariance with respect to global rotations and translation of the molecule are required in the architecture and so we omit them to simplify the machine learning architecture.

[35] **Swallowing the Bitter Pill**: Simplified Scalable Conformer Generation, 2311.17932



Yuyang Wang @YuyangW95

Glad to see AF3 coming out! Interesting to see it acknowledged our Molecular Conformer Fields (arxiv.org/abs/2311.17932) and omits the equivariant architecture as well. Another evidence of why equivariant design may not be a strong requirement in modeling molecules.



Since this tweet sparked quite a bit of lively discussion, I'd like to add a bit more nuance:

 I think we absolutely should study symmetry in the context of (scalable) ML; this particular result only reinforces this IMO.
 Understanding trade-offs w.r.t. symmetry group "size", feasibility of data augmentation, dataset size, position encoding, ease of optimization, OOD generalization, etc. will likely be key for progress.

2) Just to (re-)state the obvious: Some of the most impactful architectures in ML (Transformers/GNNs) \*are built on permutation symmetry\* (and ofc there's something to be said about CNNs and translation symmetry). Having permutation symmetry in your data and not making use of it is typically not a good idea.

I don't think we should think in terms of symmetry inductive bias vs. scale, but rather how we can reap the benefits of both. No bitter lesson here.

### Can they reason?

### Autoregressive language models are fast thinkers





### Fast thinkers rely on good intuitions

#### 物理直觉是如何养成的? 徐一鸿: 思考比计算要困 难得多

澎湃新闻记者 曹年润 2022-12-14 08:28 来源: 澎湃新闻

要的是要帮助学生培养更多的物 理直觉,这也是我写这本书的原因之 何培养物理直觉? 我在书里提到 了两种可能性:天生就拥有物理直觉, 或者通过不断练习发展物理直觉。"



徐一鸿教授。

"你应该先思考。思考比计算要困难得 多,大多数人都可以坐下来计算,但不 计算就思考问题,这是极其困难的。"





System 1 thinking in physics: getting answers quickly without lengthy calculations "Never never calculate unless you already know the answer!"—John Wheeler



### Do they understand physics?



https://openai.com/index/sora/

### "What I can not create, I do not understand" -Richard Feynman



### 智能还是伪装:



### Fold by intuition vs fold by equation

Both integrate Langevin dyanmics  $X_{t+1} = X_t + \frac{\eta_t}{2}s(X_t, t) + \sqrt{\eta_t}\epsilon$ 

### **Data-driven generation AlphaFold**<sub>3</sub>



Abramson et al, Nature 2024

The diffusion model may generate right conformations via unphysical pathways

#### **Physics-based molecular dynamics**



Shaw et al, Science 2010

Physical force fields may face difficulties in sampling rough energy landscapes





# What can generative models do? Appreciation Generation

# Likelihood estimation $\ln p(X)$ Anomaly detention

Generative models compress the training data to extract language/image/physics/chemistry intuitions

(Un)conditional sampling

### $X \sim p(X)$ or p(X|y)

De novo design Text-to-image Question answering



## What is next?

### Generative AI for It

#### "It from Bit", John Wheeler, 1989

in Information, physics, quantum: the search for links





## Generative AI for matter engineering

#### Chemical space X



Inverse molecular design using machine learning, Sanchez-Lengeling & Aspuru-Guzik, Science '18 Inverse design in search of materials with target functionalities, Zunger, Nature Reviews Chemistry '18

Control variable y


# "an image of beautiful crystals in 16:9" pixels ~ p(pixels | texts)



data Na1Cl1 \_symmetry\_space\_group\_name\_H-M 'P1' \_cell\_length\_a 3.9893 cell length b 3.9893 cell length c 3.9893 cell angle alpha 60.0000 cell angle beta 60.0000 \_cell\_angle\_gamma 60.0000 symmetry Int Tables number 1 chemical formula structural NaCl \_chemical\_formula\_sum 'Na1 Cl1' \_cell\_volume 44.8931 \_cell\_formula\_units\_Z 1 loop \_symmetry\_equiv\_pos\_site\_id \_symmetry\_equiv\_pos\_as\_xyz 1 'x, y, z' loop \_atom\_site\_type\_symbol atom site label \_atom\_site\_symmetry\_multiplicity \_atom\_site\_fract\_x \_atom\_site\_fract\_y atom site fract z atom site occupancy CI CI0 1 0.0000 0.0000 0.0000 1 Na Na1 1 0.5000 0.5000 0.5000 1

Flam-Shepherd et al, 2305.05708 Antunes et al, 2307.04340 Gruver, et al, 2402.04379...

### Large language model

more data and compute

The biggest lesson that can be read from 70 years of AI research is that general methods that leverage computation are ultimately the most effective -Rich Sutton 2019



### Energy-based structure prediction

more physics and symmetries



# We have much less crystal data



## Over 250 billion pages > 291,000 crystal structures

Data, compute, and parameters need to scale simultaneously Kaplan et al, 2001.08361





	P1	P-1	P2	P2;	C2		Pm	Pc	Cm	Cc	P2/m	P2./n	n C2/m	P2/c	P2:/c
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# What is the natural bitstream representation of crystals?

https://crystalsymmetry.files.wordpress.com/2014/08/poster\_230\_the\_space\_group\_list\_project.png







# Space groups quantify Matture for metry preference



### Wyckoff Positions of Group P1 (No. 1)

Multiplicity Wyckoff Site letter symmetry (x,y,z) а

P<sub>1</sub> is rare!

Wyckoff Positions of Group Fm-3m (No. 225)

15 [%]

Coordinates

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8	С	-43m	(1/4,1/4,1/4) (1/4,1/4,3/4)					
4	b	m-3m	(1/2,1/2,1/2)					
4	а	m-3m	(0,0,0)					

https://www.cryst.ehu.es/cryst/get\_wp.html



### Wyckoff Positions of Group Fm-3m (No. 225)

Multiplicity	Wyckoff	Site	Coordinates					
multiplicity	letter	symmetry	(0,0,0) + (0,1/2,1/2) + (1/2,0,1/2) + (1/2,1/2,0) +					
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96 j m		m						
48	i	m.m 2	(1/2,y,y) (1/2,-y,y) (1/2,y,-y) (1/2,-y,-y) (y,1/2,y) (y,1/2,-y) (-y,1/2,y) (-y,1/2,-y) (y,y,1/2) (-y,y,1/2) (y,-y,1/2) (-y,-y,1/2)					
48	h	m.m 2	(0,y,y) (0,-y,y) (0,y,-y) (0,-y,-y) (y,0,y) (y,0,-y) (-y,0,y) (-y,0,-y) (y,y,0) (-y,y,0) (y,-y,0) (-y,-y,0)					
48	g	2.m m	(x,1/4,1/4) (-x,3/4,1/4) (1/4,x,1/4) (1/4,-x,3/4) (1/4,1/4,x) (3/4,1/4,-x) (1/4,x,3/4) (3/4,-x,3/4) (x,1/4,3/4) (-x,1/4,1/4) (1/4,1/4,-x) (1/4,3/4,x)					
32	f	.3m	(x,x,x) (-x,-x,x) (-x,x,-x) (x,-x,-x) (x,x,-x) (-x,-x,-x) (x,-x,x) (-x,x,x)					
24	е	4m. m	(x,0,0) (-x,0,0) (0,x,0) (0,-x,0) (0,0,x) (0,0,-x)					
24	d	m.m m	(0,1/4,1/4) (0,3/4,1/4) (1/4,0,1/4) (1/4,0,3/4) (1/4,1/4,0) (3/4,1/4,0)					
8	С	-43m	(1/4,1/4,1/4) (1/4,1/4,3/4)					
4	b	m-3m	(1/2,1/2,1/2)					
4	а	m-3m	(0,0,0)					



### Copper





Multiplicity	Wyckoff	Site	Coordinates				
wiultiplicity	letter	symmetry	(0,0,0) + (0,1/2,1/2) + (1/2,0,1/2) + (1/2,1/2,0) +				
192	I	1	$ \begin{array}{llllllllllllllllllllllllllllllllllll$				
96	k	m	$\begin{array}{l} (x,x,z) & (-x,-x,z) & (-x,x,-z) & (x,-x,-z) \\ (z,x,x) & (z,-x,-x) & (-z,-x,x) & (-z,x,-x) \\ (x,z,x) & (-x,z,-x) & (x,-z,-x) & (-x,-z,x) \\ (x,x,-z) & (-x,-x,-z) & (x,-x,z) & (-x,x,z) \\ (x,z,-x) & (-x,z,x) & (-x,-z,-x) & (x,-z,x) \\ (z,x,-x) & (z,-x,x) & (-z,x,x) & (-z,-x,-x) \end{array}$				
96	j	m	$\begin{array}{l} (0,y,z) & (0,-y,z) & (0,y,-z) & (0,-y,-z) \\ (z,0,y) & (z,0,-y) & (-z,0,y) & (-z,0,-y) \\ (y,z,0) & (-y,z,0) & (y,-z,0) & (-y,-z,0) \\ (y,0,-z) & (-y,0,-z) & (y,0,z) & (-y,0,z) \\ (0,z,-y) & (0,z,y) & (0,-z,-y) & (0,-z,y) \\ (z,y,0) & (z,-y,0) & (-z,y,0) & (-z,-y,0) \end{array}$				
48	i	m.m 2	(1/2,y,y) (1/2,-y,y) (1/2,y,-y) (1/2,-y,-y) (y,1/2,y) (y,1/2,-y) (-y,1/2,y) (-y,1/2,-y) (y,y,1/2) (-y,y,1/2) (y,-y,1/2) (-y,-y,1/2)				
48	48 h m.m 2 48 g 2.m m		(0,y,y) (0,-y,y) (0,y,-y) (0,-y,-y) (y,0,y) (y,0,-y) (-y,0,y) (-y,0,-y) (y,y,0) (-y,y,0) (y,-y,0) (-y,-y,0)				
48			$\begin{array}{l} (x,1/4,1/4) (-x,3/4,1/4) (1/4,x,1/4) (1/4,-x,3/4) \\ (1/4,1/4,x) (3/4,1/4,-x) (1/4,x,3/4) (3/4,-x,3/4) \\ (x,1/4,3/4) (-x,1/4,1/4) (1/4,1/4,-x) (1/4,3/4,x) \end{array}$				
32	32 f .3m		(x,x,x) (-x,-x,x) (-x,x,-x) (x,-x,-x) (x,x,-x) (-x,-x,-x) (x,-x,x) (-x,x,x)				
24	е	4m. m	(x,0,0) (-x,0,0) (0,x,0) (0,-x,0) (0,0,x) (0,0,-x)				
24	d	m.m m	(0,1/4,1/4) (0,3/4,1/4) (1/4,0,1/4) (1/4,0,3/4) (1/4,1/4,0) (3/4,1/4,0)				
8	С	-43m	(1/4,1/4,1/4) (1/4,1/4,3/4)				
4	b	m-3m	(1/2,1/2,1/2)				

4 a m-3m (0,0,0)

### Wyckoff Positions of Group Fm-3m (No. 225)

3)

## NaCl



### Wyckoff Positions of Group Fm-3m (No. 225)

Multiplicity	Wyckoff	Site	Coordinates						
Multiplicity	letter	symmetry	(0,0,0) + (0,1/2,1/2) + (1/2,0,1/2) + (1/2,1/2,0) +						
192		1	$\begin{array}{llllllllllllllllllllllllllllllllllll$						
96	k	m	$\begin{array}{l} (x,x,z) & (-x,-x,z) & (-x,x,-z) & (x,-x,-z) \\ (z,x,x) & (z,-x,-x) & (-z,-x,x) & (-z,x,-x) \\ (x,z,x) & (-x,z,-x) & (x,-z,-x) & (-x,-z,x) \\ (x,x,-z) & (-x,-x,-z) & (x,-x,z) & (-x,x,z) \\ (x,z,-x) & (-x,z,x) & (-x,-z,-x) & (x,-z,x) \\ (z,x,-x) & (z,-x,x) & (-z,x,x) & (-z,-x,-x) \end{array}$						
96	j	m	$\begin{array}{l} (0,y,z) & (0,-y,z) & (0,y,-z) & (0,-y,-z) \\ (z,0,y) & (z,0,-y) & (-z,0,y) & (-z,0,-y) \\ (y,z,0) & (-y,z,0) & (y,-z,0) & (-y,-z,0) \\ (y,0,-z) & (-y,0,-z) & (y,0,z) & (-y,0,z) \\ (0,z,-y) & (0,z,y) & (0,-z,-y) & (0,-z,y) \\ (z,y,0) & (z,-y,0) & (-z,y,0) & (-z,-y,0) \end{array}$						
48	i	m.m 2	(1/2,y,y) (1/2,-y,y) (1/2,y,-y) (1/2,-y,-y) (y,1/2,y) (y,1/2,-y) (-y,1/2,y) (-y,1/2,-y) (y,y,1/2) (-y,y,1/2) (y,-y,1/2) (-y,-y,1/2)						
48	48 h m.m 2 48 g 2.m m		(0,y,y) (0,-y,y) (0,y,-y) (0,-y,-y) (y,0,y) (y,0,-y) (-y,0,y) (-y,0,-y) (y,y,0) (-y,y,0) (y,-y,0) (-y,-y,0)						
48			$\begin{array}{l} (x,1/4,1/4) \ (-x,3/4,1/4) \ (1/4,x,1/4) \ (1/4,-x,3/4) \\ (1/4,1/4,x) \ (3/4,1/4,-x) \ (1/4,x,3/4) \ (3/4,-x,3/4) \\ (x,1/4,3/4) \ (-x,1/4,1/4) \ (1/4,1/4,-x) \ (1/4,3/4,x) \end{array}$						
32	f	.3m	(x,x,x) $(-x,-x,x)$ $(-x,x,-x)$ $(x,-x,-x)(x,x,-x)$ $(-x,-x,-x)$ $(x,-x,x)$ $(-x,x,x)$						
24	24 e 4m.m 24 d m.mm		(x,0,0) (-x,0,0) (0,x,0) (0,-x,0) (0,0,x) (0,0,-x)						
24			(0,1/4,1/4) (0,3/4,1/4) (1/4,0,1/4) (1/4,0,3/4) (1/4,1/4,0) (3/4,1/4,0)						
8	С	-43m	(1/4,1/4,1/4) (1/4,1/4,3/4)						
4	b	m-3m	(1/2,1/2,1/2)						
4	а	m-3m	(0,0,0)						

## $LaH_{10}$



# CrystalFormer Zhendong Cao et al, 2403.15734

225-a-La-0-0-c-H-1/4-1/4-1/4-f-H-0.375-0.375-0.375-X-5.1-5.1-5.1-90-90-90

"语法"~固体化学规律 "同义词" ~ 可以互换的元素 "成语"~配位多面体

### $LaH_{10}$



more data and compute



Not a large language model, nor a potential energy surface

融合空间群对称性的晶体语言模型

## "格律"~空间群Wyckoff占位

more physics and symmetries

Ρ1	P-1	F2	P21	CZ Rees	Pm	Rc	Cm	Cc	P2/m	P2_/m	C2/m	P2/c	P2.√c			
WARK .	***	<b>B</b> B	奋	(alla)			海东	1999	-Charleson	總許			888 888			
Gilmarite C2/c	Chancalthite /P222	F222	Allodasite P2;2;2	P2:2:2:	(c) BuBe,Si,O, C222, C22	Tashelgte 12 F222	Gerstleyite /222	FeMo <sub>2</sub> S <sub>1</sub> (2,2,2,	Muthmannite Pmm2	Ca5b, Pmc2;	Augelite Pcc2	Ferberite	B <sub>s</sub> S <sub>te</sub> Pma2			
						k 👯	*		*				X			
Jadeite	Pottacium 4-obaileate dichlioidaplatieate	CisiO(B;O))	La, InS <sub>e</sub>	NaAKI	K, AgS Gox	kvskite NaAg(NO <sub>1</sub> ),	NaFe5,	(18,34)-1,3-Claminocys (28,38)-2,3-Euran	taleane Idiol GaAs	Carbocemaite	2,5-tis)i-branco this sylj-this phe	-1- 	Krennerite			
Pea2;	Finc2	Pmn2,	Pbo2	Pna2, Wakabayashiine	Pno2	Imm2 Cmc2, Cmc2, Spertinite	Ccc2	Amm2	Abn	Amai	Abc2	1900 - Maria	Fmm2 CHJ,			
Fdd2	1mm2		ima Ima		mm Pnno	Peem Phan	Pmma	Phna	Pmna	Peca P2	am Pcc	1 13 -	Phem			
Cabalitie	AdhQ	Banakite	Butis	<b>入</b> 注		MaQuita Betzian	Buttier	5+WD	Fille Te	Action Bei	arite Valer	inite	BATTOF,		<i>g</i> -	
Ponm	Pmmn	Pben Pbee	a Pnmo	Cmem	Cmca Cmmm	Cccm Cmma	Ccca	Fmmm Fi	ddd Imma	o Ibam	lbca	la	oma		142.	
Cultiful INO	AAA AAAA Pesavaite		t and a support	Fernasite	Tutualite MgVO,	Configure Johachidalh	Magoniocarphol			teringadi	Chesnology				$a_1^{-1}$ -	_
P4	P4:	P4, P4		14:	P-4	1-4 P4/m	P4 <sub>2</sub> /m	P4/n	P4;/n	14/m	14:/a P	422	P42:2		$\chi_1$ –	
Na <sub>11</sub> WD <sub>2</sub>	Parclaveite	Pinsata Sr, As				b(FS,) Bala4Ca,O	SryFeare/Molane/Car	PO,SUF,	NuSb(OH),						$y_1^1$ -	
F4:22	P4:2:2	P4;22	P4;2;2	P4±22	P41212	J422 /4,22	P4mm	P4bm	P4 <sub>1</sub> cm	P4 <sub>1</sub> nm	PAcc		PAnc		7	
Mb(TiQ)	Cristobality (J			Nu,S	Li(45i,0,1	Eurite OF4	ETO,	NausBiut			VDS4jDi	HgT	Bu, CuO,		$w_{2}^{-1}$ -	
P4 <sub>1</sub> mc	P4 <sub>2</sub> bc	(4mm (4cm	14.1md	14,cd	P-42m P-42	c P-42,m	P-42;c	P-4m2	P-4c2 P	462 P.4	nZ (-4m2	2	4c2		$a_{2}^{-}$ -	
BaGe <sub>2</sub> P <sub>2</sub>	NaZir(QH), S	Sm, Cu, Sn, Bb, Nb, C	黄盘	ACC AND A	Cu.(WS.)	a, (NF,([BF,]	Nu(PS,)	HiQuist,	ENLS,			Baji	<b>()()()</b> (=() <b>(</b> )() ()()()()()		$\frac{x_2}{x_2}$ -	
1-42m	1-42d P	P4/mm P4/mc	c P4/nb/	n P4/nnc	P4/mbm P4/i	nnc P4/nmm P4	4/ncc P4 <sub>2</sub> /m	nmc P4 <sub>1</sub> /	mcm P4 <sub>2</sub> /r	nbc P4 <sub>2</sub> /nnm	P4 <sub>3</sub> /mbc F	<sup>24</sup> 2/mnm	P4 <sub>2</sub> /nmc		$v_{\overline{2}}$ –	
astronau Astronau	ka XII Pet	no, (SSD *C) TeTe,	Cuntu, As,	0., Ba44,54,	Prospenite Fluorage	drylite PbO(ved) B	100, DIN			С (0,6 Сиби(ОН),	资	Bucile	ADDA MARA MARA MARA MARA Hgi		$z_2 - z_2 - z_2$	
P4 <sub>2</sub> /ncm	J4/mmm	14/mcm 14 <sub>1</sub> /ar	md 14:/ac	d P3	P3,	P3, R3	P-3	R-3	P312 P3	921 P3,12	P3,21		3,12		142	
SSS Aut	infan	Al, Cu CuSi	0, (Sr., Ba.,	IrQ, Simpsonik	Stilwelite-Ge	Sheldrichina MagSO, []	Kali Kitika	L Dulumit	REGALIO, ) SV/T	iGa,Si,O <sub>u</sub> ) Marcovi	te 37 Berlinit		60,		$w_3$ -	
P3,21	R32	P3m1 1	P31m	P3c1	P31c R3	m #3c	P-31m	P-31c	P-3m1 P-3	3c1 <i>R</i> -3 <i>m</i>	R-3c	- 4	PE		<i>w</i> 3	
Quartz	Tincalconite	Fencooperite	Galeite	Page Rud,	LNuSO, Tex	maine Prostite	U,2F,	Coquimbite	Portlandite Fluce	arite-(La) PON-	s Cala	te -	K,TL,F,O,		$x_3 - y_2$	
P6,	P6,	P6;	P6,	PE	P.6	P6/m	P6,/m /	P622	P5,22 P	5,22 P5	22 P6	22	P6,22		<i>y</i> 3-	
-388-		3333		01 💱		TA.			388 ~		\$\$¢ *		101.01.01		$Z_2 -$	
Al <sub>1</sub> S <sub>1</sub>	NuCoPO,	SI(S,Q.)(H,O).	Cii (S,O,)(H	(O), Nep	heline LiNaCO,	ratera-(2,2*+kiphery)+4,4* Kyläiminojäherstene-1,3,5-tiisi	Fluorepatite in	nanchi nya Metaan Kakiya panlan jada ka Mili dalemperti kate	A(F)	LaBTB Rhub	dophane-(Ce) β-	Eucryptite	Ca(Ta,Nb),O <sub>13</sub>		~3	
P6mm	P6cc F	P6 <sub>1</sub> cm P6 <sub>1</sub> mc	P.	5m2 P	6c2 P-62m	P-62c P	5/mmm P6	i/mcc P6 <sub>0</sub> /i	ncm P6 <sub>a</sub> /n	nmc P23	F23	123	P2,3		$W_A$ -	
	<b>\$</b>		÷,				₩	<b>\$</b> .							- -	
/Z:3	Pm-3	Pn-3 Fn	AU 14 19-3 F	al-3 In	-3 Pa-3	/a-3 P432	P4,32	F432	F4;32	Atte BallAur, M32	P4,32	P4:32	M <sub>1</sub> 32		-	
		報言		1	× 28	■ 賞		. **								
K,Pb,O,	Sr <sub>2</sub> C <sub>ea</sub>	MgSn(DH), K <sub>2</sub> Pb(Du	(NO <sub>2</sub> ), Do	fecasil Na <sub>2</sub> ,	WD <sub>a</sub> Pyrite	Yunia BIF-9-0	u Be <sub>1</sub> P <sub>2</sub>	PCN-20	Te(OH).	NiHg.	LiFe <sub>1</sub> O <sub>2</sub>	C(NH,),),(SO,	Gd/Cl,C			
P-43m	F-43m	143m P.4	ian F-4	sc 143	a Pm-3m	Pn-3n Pm-3n	Pn-3m	Fm-3m	FM-3C	rd-3m F	0-3C	m-sm	10-3d			
			<b>X</b>					#								

Compress material database into transformer parameters The model has to gain chemical intuition for such compression







## Element embedding table

$$a \cdot b$$

 $|a| \cdot |b|$ 

Cosine similarity

## Solid state chemistry as "n-gram" in the crystal language

$$g - W_1 - A_1 - X_1 - W_2$$

## **Coordination polyhedra**



Polyhedra in Chemistry Gongdu Zhou 2009

 $-A_2 - X_2 - \dots - a - b - c - \alpha - \beta - \gamma$ 



### Valence





# Crystals by intuition vs by minimization

## Data-driven (system 1) Chemical intuitions (e.g. Pauling rules) from compression



CDVAE, Mattergen, Unimat, DiffCSP, CrystaLLM...

## Physics-based (system 2) energy minimization



Order parameters

CALYPSO, USPEX, ARISS,... DPA, MACE, LASP, GNoME,...

# Autoregressive sampling of a crystal

### $Cs_2ZnFe(CN)_6$







# Generating crystals via diffusion



See also Xie et al, 2110.06197, Zheng et al, 2306.05445, Jiao et al, 2309.04475, Zeni et al, 2312.03687, Jiao et al, 2402.03992 ...





### Yang et al, 2311.09235

https://unified-materials.github.io/unimat/





# The large language model approach

data\_Na1Cl1 \_symmetry\_space\_group\_name\_H-M 'P1' \_cell\_length\_a 3.9893 \_cell\_length\_b 3.9893 \_cell\_length\_c 3.9893 \_cell\_angle\_alpha 60.0000 cell angle beta 60.0000 \_cell\_angle\_gamma 60.0000 \_symmetry\_Int\_Tables\_number 1 \_chemical\_formula\_structural NaCl \_chemical\_formula\_sum 'Na1 Cl1' \_cell\_volume 44.8931 cell formula units Z 1 loop \_symmetry\_equiv\_pos\_site\_id \_symmetry\_equiv\_pos\_as\_xyz 1 'x, y, z' loop\_ \_atom\_site\_type\_symbol atom site label \_atom\_site\_symmetry\_multiplicity \_atom\_site\_fract\_x \_atom\_site\_fract\_y \_atom\_site\_fract z \_atom\_site\_occupancy CI CIO 1 0.0000 0.0000 0.0000 1 Na Na1 1 0.5000 0.5000 0.5000 1

Here, one has to learn the periodicity and the space group—Wyckoff position multiplicities—lattice relation from data as statistical correlations



- Cherrish your data, cherrish math
- Flam-Shepherd et al, 2305.05708, Antunes et al, 2307.04340, Gruver, et al, 2402.04379 Meta



# Aside: autoregressive transformer for images

Esser et al, Taming Transformers for High-Resolution Image Synthesis (VQGAN), 2012.09841



CrystalFormer leverages Nature's codebook: the Wyckoff position table

learned codebook, see also Tian et al, 2404.02905



# Crystal discovery and design with CrystalFormer Zhendong Cao et al, 2403.15734

## ① 结构预测: 晶体"造句"

225-a-Fe-0-0-0-b-Zn-1/2-1/2-1/2-c-Cs-1/4-1/4-1/4-e-C-0.18-0-0-e-N-0.29-0-0

② 元素替换: 晶体"完形填空"

③反向设计: 晶体"命题作文" CrystalFormer for p(X)Property prediction models p(y | X)Posterior  $p(X | y) \propto p(X)p(y | X)$ 



### More double perovskites A<sub>2</sub>BB'O? 225-a-[?]-0-0-b-[?]-1/2-1/2-c-[?]-1/4-1/4-1/4-e-O-[?]-0-0







[-] <u>The potato</u>, or potato chip, is one of the best-selling snacks in the world!\n \n It comes in a variety of colors, is gluten-free (except for gluten-free chips), low in fat and saturated fat, and can be made in just 30 minutes, using only 6 simple ingredients. It's also easy to make, and can be stored in its own tin.  $\ln \ln$  The potato chip, however, may not be for everyone. If you are trying to lose weight, it...

[Science; 0.005] <u>The potato</u> is an ancient member of the green family which, as its name suggests, is green and edible. It is native to the Middle East and parts of South Asia. It is an important crop in many parts of the world. The potato, a member of the potato family, has been domesticated for thousands of years. It can be eaten raw and cooked in its skins; it is also used as a starch and is a great source of energy and fiber in many diets and in many countries...

[Science; 0.01] <u>The potato</u> was once thought to have no health problems and has been promoted as a nutritious food source since the mid-1800s, but recent reports indicate that it has many harmful health issues. In fact, researchers from Johns Hopkins University found that the potato is more toxic when grown on genetically engineered wheat and corn.n n cording to scientists, genetically modified potatoes are far worse at damaging the human body than conventional potatoes and are far worse than those grown on the traditional crops.n n m the study also revealed...

texts ~ p(texts | topic)

## Controlled text generatiion Dathathri et al, 1912.02164

https://www.uber.com/en-KR/blog/pplm/



[Science; 0.03] <u>The potato</u>, a staple of most diets, seems to be the most popular vegetable among researchers. The research has been published and peer-reviewed.n n The potato has a unique ability. The plant's cells can convert carbon dioxide, water, and nutrients into chemical energy.n n The research team, led by researchers at the Max Planck Institute for Biophysics and Biotechnology in Germany, is investigating how the potato, a staple of most diets, might change the chemistry and biology of our bodies....

[Science; 0.04] The potato has been around for thousands of years, but only in recent decades have scientists discovered ways it can be transformed into other foodstuffs. Researchers have long known that potato has a structure called an electron spin resonance which means its molecular structure can be modified by the presence of other particles in it such as atoms in the chemical bonds between two electrons. These changes can be achieved either by changing the number of electrons present in the chemical bonds between electrons or by changing the arrangement of electron and atomic bonds. In both...

[Science; 0.05] <u>The potato</u> chip is a delicious treat that can be enjoyed in the laboratory experiment, but is it safe for humans? n n Scientists experiment and experiment experiment experiment experiment experiment experiment experiment experiment experiment.....

[Science; 0.1] <u>The potato</u>, which scientists at the lab experiment experimen

# make it smarter



# make T<sub>c</sub> higher





 $La_{1.85}Ba_{0.15}CuO_4$ 

35K



 $YBa_2Cu_3O_7$ 

 $Tl_2Ba_2Ca_nCu_{n+1}O_{2n+6}$ 125K

92K



# F is a cost function given by Nature The \*same\* cost function for training deep generative models, almost



# The variational free energy principle

Gibbs-Bogolyubov-Feynman-Delbrück-Molière

# min $F[\rho] = \text{Tr}(H\rho) + k_B T \text{Tr}(\rho \ln \rho) \ge F$ entropy variational density matrix energy



**Difficulties in Applying the Variational Principle to Quantum Field Theories**<sup>1</sup>

Richard P. Feynman

<sup>1</sup>transcript of Professor Feynman's talk in 1987



Generative

models!

# Neural canonical transformations

Li, Dong, Zhang, LW, PRX '20



Xie, Zhang, LW, JML '21



# Neural canonical transformation for variational density matrix



Classical probability  $p_n$ 



autoregressive model



2105.08644, JML '22 2201.03156, SciPost Physics'23

Quantum states  $|\psi_n\rangle = U |\phi_n\rangle$ 





## Example: the variational density matrix of electron gas Xie, Zhang, LW, SciPost Physics '23



Low-energy excited states are labeled in the same way as the ideal Fermi gas  $K = \{k_1, k_2, ..., k_N\}$ 

Normalized probability distribution





## There will also be interesting twists for physics considerations

![](_page_99_Picture_9.jpeg)

![](_page_99_Picture_10.jpeg)

# Variational autoregressive network for $p(\mathbf{K})$

Fermionic occupation in k-space

![](_page_100_Figure_2.jpeg)

![](_page_100_Figure_3.jpeg)

## Pauli exclusion: we are modeling a set of words with no repetitions and no order

We use masked casual self-attention Vaswani et al 1706.03762; Alternative solution: Hibat-Allah et al, 2002.02793, Barrett et al, 2109.12606

 $p(\mathbf{K}) = p(\mathbf{k}_1)p(\mathbf{k}_2 | \mathbf{k}_1)p(\mathbf{k}_3 | \mathbf{k}_1, \mathbf{k}_2)\cdots$ 

![](_page_100_Picture_7.jpeg)

probability space

# of fermions	# of words
Momentum cutoff	Vocabulary

quick brown fox JUMP.

![](_page_100_Picture_11.jpeg)

![](_page_100_Picture_12.jpeg)

![](_page_100_Picture_13.jpeg)

![](_page_101_Figure_2.jpeg)

![](_page_101_Figure_3.jpeg)

 $X \leftrightarrow Z$ : unitary backflow between particle and quasiparticle coordinates Fermion statistics: permutation equivariant flow We use FermiNet layer Pfau et al, 1909.02487

![](_page_101_Picture_7.jpeg)

![](_page_101_Picture_8.jpeg)

![](_page_102_Figure_0.jpeg)

Iterative backflow  $\rightarrow$  deep residual network  $\rightarrow$  continuous normalizing flow Taddei et al, PRB '15 E Commun. Math. Stat 17', Harbor el al 1705.03341, Lu et al 1710.10121, Chen et al, 1806.07366

# Feynman's backflow in the deep learning era

 $z_i = x_i + \sum_{i=1}^{j} \eta(|x_i - x_j|) (x_i - x_i)$ Feynman & Cohen 1956 wavefunction for liquid Helium particle Quasi-particle etwork network coordinates quivariant Equivarian ra eura

![](_page_102_Picture_4.jpeg)

![](_page_102_Picture_5.jpeg)

### Point Transformations and the Many Body Problem\*

### M. Egert and E. P. Gross

Brandeis University, Waltham, Massachusetts

An investigation is made of possible uses of many dimensional coordinate transformations in the quantum many-body problem. The transformed Hamiltonian is quadratic in the momenta with a space dependent metric. The original potential energy undergoes alteration and an additional "metric" potential energy appears. A relatively complete analysis of the transformed original potential is made, and the coordinate transformation can be used to suppress undesirable features of the original potential. For bosons one can attempt to directly map a complete set of noninteracting states onto approximate eigenstates of the system with interactions. Contact is made with a theory of weakly interacting bosons. In the general case it emerges that a given transformation uniquely fixes all the spatial correlation functions, which can be explicitly computed. The extended point transform can then be used as a link between diverse experimental quantities. The full use of the transformation to compute from first principles requires adequate approximations to the Jacobian and the inverse transform. These problems are not studied.

## flow materializes this dream

![](_page_103_Picture_9.jpeg)

![](_page_104_Figure_0.jpeg)

Jointly optimize  $p_n$  and  $\psi_n(\mathbf{R})$  to minimize the variational free energy

$$p_n |\psi_n\rangle\langle\psi_n|$$

# The deep variational free energy approach

1802.02840, PRL '18 1910.00024, PRX '20 1809.10606, PRL '19 2209.06095, PRL '23

Low-temperature properties of interacting electrons (~50 electrons)

![](_page_105_Figure_3.jpeg)

- 1912.11381, MLST' 21 2201.03156, SciPost '23
- 2105.08644, JML '22 2403.12518, JCP '24...
- Vibrational spectra of molecules and solids (~500 atoms)

 $\rho = \sum U |\phi_n\rangle p_n \langle \phi_n | U^{\dagger}$ 

![](_page_105_Picture_9.jpeg)

A computational framework taking in account of electron correlation, thermal effect, and anharmonic lattices for free energy, entropy, and excitation spectra

![](_page_105_Picture_11.jpeg)

# Deep variational free energy approach: resolving puzzles

![](_page_106_Picture_1.jpeg)

### Quansi-particle effective mass contradicting experiments

### m \* / m > 1

VOLUME 91, NUMBER 4

PHYSICAL REVIEW LETTERS

**Spin-Independent Origin of the Strongly Enhanced Effective Mass** in a Dilute 2D Electron System

### m \* / m < 1

PRL 101, 026402 (2008)

PHYSICAL REVIEW LETTERS

### **Effective Mass Suppression** in Dilute, Spin-Polarized Two-Dimensional Electron Systems

Medini Padmanabhan, T. Gokmen, N. C. Bishop, and M. Shayegan

Department of Electrical Engineering, Princeton University, Princeton, New Jersey 08544, USA (Received 19 September 2007; published 7 July 2008)

()e-

### $m^*$ Hao Xie et al, SciPost Physics '23 Thermal entropy of 2D electron gas Т

![](_page_106_Figure_17.jpeg)

![](_page_106_Picture_18.jpeg)

![](_page_106_Picture_19.jpeg)

![](_page_106_Picture_20.jpeg)

![](_page_106_Picture_23.jpeg)

# Deep variational free energy approach: making discoveries

Knudson et al Science '15, Celliers et al Science '18 Mazzola et al Nat.Comm. '14, Pierleoni et al, PNAS '16 Cheng et al, Nature '20, Karasiev et al, Nature '21

![](_page_107_Figure_2.jpeg)

![](_page_107_Picture_3.jpeg)

### Xinyang Dong et al, 2024

![](_page_107_Picture_5.jpeg)

# High-temperature solids of dense hydrogen see also Niu et al, PRL '23, Goswami et al, 2411.15665
Guillaume et al, Nature physics, '11 Marqués et al, PRL '11, Gorelli et al PRL '12



Our calculation does **NOT** reproduce the experimentally observed Oc88 phase of Lithium, which contradicts consensus



### Qi Zhang et al, 2412.12451



**M** Thermal effect **Quantum anharmonicity DFT** functional error for bad metal







# Generative AI for It

 $p(X|y) \propto p(X)p(y|X)$ 



# $F[\rho] = E - TS$



Turning physics problems into stochastic optimization Leverages the deep learning engine



Matter inverse design Exploiting intuitions in data



Nature's cost function Variational free energy is finally practical

## The Universe as a generative model

$$S = \int dx \sqrt{-g} \left[ \frac{m_p^2}{z} R - \frac{1}{4} F_{AV}^{A} F_{A}^{AV} \right]$$
$$+ 2 \overline{\psi}^2 r^{\mu} \mathcal{D}_{\mu} \psi^2 + \left( \overline{\psi}^2_{\lambda} \mathcal{U}_{ij} \Phi \psi^j_{R} + h.c. \right)$$
$$- \left| \mathcal{D}_{\mu} \Phi \right|^2 - V(\Phi) \right]$$

# Thank you!

Discovering physical laws: learning the action Solving physical problems: optimizing the action





### A crash course offerred at IOP 2023 spring

2.23	Overview
3.2	Machine learning practices
3.9	A hitchhiker's guide to deep learning
3.16	Research projects hands-on
3.23	Symmetries in machine learning
3.30	Differentiable programming
4.6	Generative models-I
4.13	Generative models-II
4.20	Research projects presentation
4.27	AI for science: why now ?





Machine learning for physicists https://github.com/wangleiphy/ml4p



